

Applicant: Tasuaki Hashizume et al.

Confirmation No.: 1386

Serial No.: 10/598,856

Att'y Docket No.: 14321.92

Filing Date: September 13, 2006

Art Unit: 2874

For: WAVEGUIDE TYPE VARIABLE OPTICAL ATTENUATOR

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
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Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>	<u>Translation</u>
_____ 1	2001-507817	06/12/2001	Japan	No
_____ 2	2004-133389	04/30/2004	Japan	No
_____ 3	WO 98/29769	07/09/1998	PCT	N/A

Other Documents

(including author, title, pertinent pages, etc.)

<u>Examiner Initial*</u>
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| _____ 4 | Yasuyuki Inoue et al., <i>Polarization Sensitivity of a Silica Waveguide Thermo-optic Phase Shifter for Planar Lightwave Circuits</i> , IEEE, Photonics Technology Letters, Vol. 4, No. 1, January 1992, pp. 36-38.     |
| _____ 5 | Na Young Kim et al., <i>Limitation of PMD Compensation Due to Polarization-Dependent Loss in High-Speed Optical Transmission Links</i> , IEEE, Photonics Technology Letters, Vol. 14, No. 1, January 2002, pp. 104-105. |
| _____ 6 | G. Heise et al., <i>Simple Model for Polarization Sensitivity of Silica Waveguide Mach-Zehnder Interferometer</i> , IEEE, Photonic Technology Letters, Vol. 17, No. 10, October 2005, pp. 2116-2118.                    |
| _____ 7 | R. Narevich et al., <i>Novel Wide-Band Low-PDL Integrated Variable Optical Attenuator in Silicon-Silicon</i> , Optical Fiber Communication Conference, Technical Digest OFC/NFOEC, Vol. 4, 2005.                        |

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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